

PCT

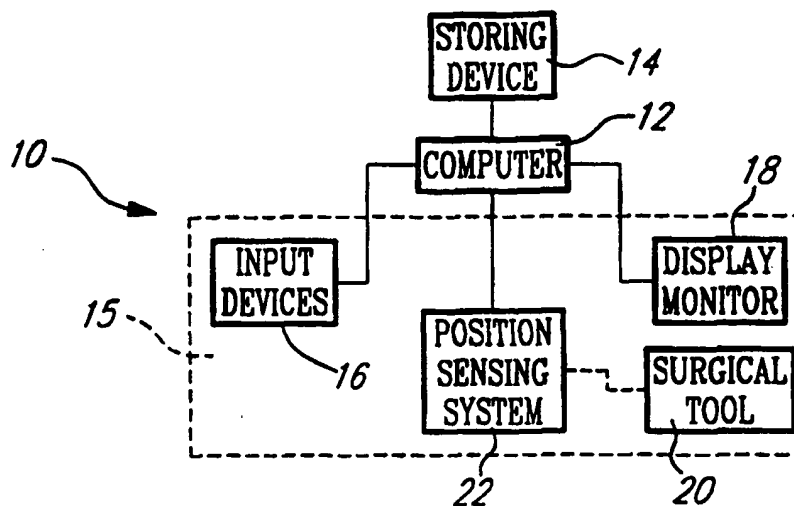
WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : A61B 19/00	A1	(11) International Publication Number: WO 99/60939 (43) International Publication Date: 2 December 1999 (02.12.99)
(21) International Application Number: PCT/CA99/00495 (22) International Filing Date: 27 May 1999 (27.05.99) (30) Priority Data: 60/087,089 28 May 1998 (28.05.98) US 60/087,091 28 May 1998 (28.05.98) US (71) Applicant: ORTHOSOFT, INC. [CA/CA]; Suite 604, 80 Queen Street, Montreal, Quebec H3C 2N5 (CA). (72) Inventors: BROSSEAU, Eric; 10 672 Jeanne-Mance, Montreal, Quebec H3L 3C2 (CA). BOIVIN, Michel; 3946 Rivard Street, Montreal, Quebec H2L 4H7 (CA). HAMEL, Geneviève; 9109 Lasalle Boulevard, Lasalle, Quebec H8R 2M5 (CA). (74) Agents: DUBUC, Jean, H. et al.; Goudreau Gage Dubuc & Martineau Walker, The Stock Exchange Tower, Suite 3400, 800 Square Victoria, Montreal, Quebec H4Z 1E9 (CA).		(81) Designated States: AU, CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published With international search report.

(54) Title: INTERACTIVE COMPUTER-ASSISTED SURGICAL SYSTEM AND METHOD THEREOF



(57) Abstract

A computer-assisted surgical system and method are described herein. The computer-assisted surgical system comprises a computer including three-dimensional models of anatomical structures and a user interface including a position sensing system to register in real-time the relative positions of the anatomical structures of interest and of a surgical tool. Interactions between the tool and the anatomical structure are displayed on a monitor using the three-dimensional models. Multi-view display, transparency display and use of cutting planes allow the surgeon to visualize the interaction between the tool and the anatomical structures any time during the surgical procedure. The system can also predict the constraint on anatomical structures before surgery.

BEST AVAILABLE COPY

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

TITLE OF THE INVENTION

INTERACTIVE COMPUTER-ASSISTED SURGICAL
SYSTEM AND METHOD THEREOF

5

FIELD OF THE INVENTION

The present invention relates to computer-assisted
surgical systems. More specifically, the present invention is concerned
10 with an interactive computer-assisted surgical system and method
thereof.

BACKGROUND OF THE INVENTION

15 Computer-assisted surgical systems are used to help
doctors during a surgical procedure. Initially, these systems were only
displaying status and data on the patient's physical condition. Eventually,
computer-assisted surgical systems have evolved to allow real-time
interaction between the surgeon procedures and the computer data
20 displayed. In recent years, computer-assisted surgical systems began
displaying computer generated models of the anatomical structures of
interest to help the surgeon visualize the surgical procedure being
performed.

25 One such system has been described by Willie
WILLIAMSON, Jr. in United States Patent No. 5,769,092, issued on June
23, 1998. In this patent, Williamson teaches a computer-assisted system
to help perform a hip replacement. The system allows the surgeon to
interact with three-dimensional models of the relevant bones to select an

appropriate replacement strategy. A first drawback of Williamson's system is that there is no registration of the anatomical structures of interest and thus, these anatomical structures must be adequately immobilized in order to visualize the interaction between the structures and a robotic arm. The immobilization of the anatomical structures renders the intra-operating room planning difficult, since no trial movements can be performed on the immobilized structures. Furthermore, only the movements of the robotic arm are reproduced on the display monitor and the interaction is performed only on two-dimensional images of the anatomical structures. Finally, Williamson's system does not allow the visualisation of transparent three-dimensional models of the anatomical structures.

In the United States Patent No. 5,682,886, issued on November 4, 1997, Scott L. DELP et al., propose a computer-assisted surgical system that overcomes some drawbacks of Williamson's system. Delp teaches the interaction of a surgical tool with three-dimensional models of the anatomical structures of interest. However Delp's system does not allow real-time update of the positions of both the surgical tool and the three-dimensional models. Furthermore the registration process requires a lot of inputs from the surgeon. Another drawback of Delp's system is that the three-dimensional models do not appear partially transparent on the display monitor. Thus, the anatomical structures may obstruct the view of the tool, depending on the relative position of the tool and the anatomical structures or the tool may simply be overlaid over the three-dimensional model, providing partial occlusion of the structures. As discussed hereinabove with respect to Williamson's system, Delp's system does not allow intra-operating room planning.

Improved computer-assisted surgical system and method are thus desirable.

OBJECTS OF THE INVENTION

5

An object of the present invention is therefore to provide computer-assisted surgical system and method free of the above mentioned drawbacks of the prior-art.

10

Another object of the invention is to provide computer-assisted surgical system and method that allow real-time registration of a surgical tool on transparent three-dimensional models of anatomical structures.

15

Still another object of the present invention is to provide computer-assisted surgical system and method that allow real-time display of the relative positions of transparent three-dimensional models of anatomical structures and of a surgical tool.

20

SUMMARY OF THE INVENTION

More specifically, in accordance with the present invention, there is provided an interactive surgical system to assist a surgery on at least one anatomical structure, the system comprising:

25

a tool;

a computer, including a three-dimensional model of each of the at least one anatomical structure and a three-dimensional model of the tool;

an output device connected to the computer; the output device being configured to display the model of each of the at least one anatomical structure and the model of the tool; and

a position sensing system connected to the computer;
5 the position sensing system being configured to register the position of the tool and the position of each of the at least one anatomical structure and transferring the positions to the computer;
whereby, in operation, the computer, using the positions of the tool and of the at least one anatomical structure, is configured to determine virtual
10 positions of the models of each of the at least one anatomical structures and of the tool and to control the output device to display the models of each of the anatomical structure and of the tool at their respective virtual positions; the three-dimensional model of each of the at least one anatomical structure being so displayed as to appear partially transparent.

15

According to another aspect of the present invention, there is provided an interactive user interface for a computer system to assist a surgery on an anatomical structure, the user interface comprising:

a tool;
20 an output device connected to the computer; the output device being configured to display a three-dimensional model of each of the at least one anatomical structure and a three-dimensional model of the tool; and

a position sensing system connected to the computer;
25 the position sensing system being configured to register the position of the tool and the position of each of the at least one anatomical structure and to transfer these positions to the computer;
whereby, in operation, the computer, using the positions of the tool and of the at least one anatomical structure, is configured to determine virtual

positions of the models of each of the at least one anatomical structures and of the tool and to control the output device to display the models of each of the anatomical structure and of the tool at their respective virtual positions.

5

According to another aspect of the present invention, there is provided a method to assist a surgical procedure on at least one anatomical structure, the method comprising:

providing a position sensing system;

10

providing a tool to perform a surgical procedure on the at least one anatomical structure;

using the position sensing system to register the relative position of the tool and of each of the at least one anatomical structure;

using the relative position of the tool and of each of the

15

at least one anatomical structure to compute respective virtual positions of each of the at least one anatomical structure and of the tool;

providing an output device;

displaying on the output device a first view including a transparent three-dimensional computer model of each of the at least one anatomical structure and a three-dimensional computer model of the tool at the respective virtual positions.

20

According to yet another aspect of the present invention, there is provided a method of determining the appropriate position of a surgical implant on at least one anatomical structure, the method comprising:

25

identifying a possible position for the implant on the at least one anatomical structure;

registering the possible position for the implant and the position of each of the at least one anatomical structure;

creating a computer models of each of the at least one anatomical structure with the implant;

5 placing the at least one anatomical structure in at least one position;

registering the at least one position of the anatomical structure; and

10 using the at least one registered position to simulate constraints on at least one of the at least one anatomical structure and the implant;

wherein the appropriate position is one of the at least one position where the simulated constraint lies in a predeterminate acceptable range.

15 Finally, according to another aspect of the present invention, there is provided a computer-assisted surgical system to assist in the installation of an implant on at least one anatomical structure, the system comprising:

20 a tool to identify a possible position for the implant on the at least one anatomical structure;

a computer including models of each of the at least one anatomical structure and of the implant;

25 a position sensing system connected to the computer; the position sensing system being configured to register the possible position for the implant with respect to at least one position of each of the at least one anatomical structure and to transfer the positions to the computer; and

whereby, in operation, the computer simulates constraints for each of the at least one position of each of the at least one anatomical structure;

wherein an appropriate position of the implant is one of the at least one position where the simulated constraint lies in a predeterminate acceptable range.

5 Other objects, advantages and features of the present invention will become more apparent upon reading of the following non restrictive description of preferred embodiments thereof, given by way of example only with reference to the accompanying drawings.

10 **BRIEF DESCRIPTION OF THE DRAWINGS**

In the appended drawings:

15 Figure 1 is a bloc diagram of an interactive computer-assisted surgical system according to an embodiment of the present invention;

20 Figure 2 is a schematic perspective view of a surgical tool and of a human knee with reference clamps mounted thereto;

 Figure 3 is a schematic view of the interactive computer-assisted system of Figure 1 without the position sensing system;

25 Figure 4 is a screen shot illustrating different points of view of three-dimensional models of anatomical structures displayed by the system of Figure 1; and

Figure 5 is a screen shot illustrating the interaction between three-dimensional models of an anatomical structure and of a surgical tool, as displayed by the system of Figure 1.

5 DESCRIPTION OF THE PREFERRED EMBODIMENT

Turning now to Figure 1 of the appended drawings, an interactive computer-assisted surgical system 10 to perform a surgical procedure on anatomical structures will be described.

10

The system 10 comprises a computer 12 having a memory (not shown), a storing device 14 and a user interface 15. The user interface 15 includes input devices 16, an output device in the form of a display monitor 18, a surgical tool 20 and a position sensing system 22.

15

The storing device 14 is used to store three-dimensional models of the surgical tool 20 and of the anatomical structures, in this case, in the form of a femur 24 and a tibia 26, (see Figure 2) on which a surgical procedure is to be performed. The storing device 14 can take any form well known by a person of ordinary skills in the art: a hard disk drive, a disk drive, a CD-ROM drive, another computer's memory, etc. The storing device 14 can be directly connected to the computer 12 via conventional peripheral connectors, such as, for example, cables or an infrared connection, or remotely via a computer network, such as, for example, the Internet.

20

25

In a preferred embodiment of the present invention, the input devices 16 are in the form of a keyboard and a mouse. The input

devices 16 allow the user to enter commands to the computer 12, in order, for example, to select display options. Although the system 10 is described with two input devices 16, only one can be used without departing from the spirit of the present invention. The input devices 10
5 can also take other forms, such as, for example a touch screen or a voice recognition system.

Although the present invention is described with a display monitor as the output device 18, a person of ordinary skills in the
10 art can conceive a similar system, using another type of output device 18, such as, for example, three-dimensional display goggles, without departing from the spirit of the present invention.

The surgical tool 20 can be, for example, an awl, a
15 screwdriver to install, for example, an artificial ligament, or any tool used in surgical procedures.

Turning briefly to Figure 2 of the appended drawings, the position sensing system 22 will be described in further details. The
20 position sensing system 22 includes a position sensing device, in the form of a video camera (not shown), connected to the computer 12 via conventional connectors and reference clamps 28 and 30, secured respectively to the patient's femur 24 and tibia 26. Position sensing systems are believed well known to persons of ordinary skills in the art,
25 and thus, will now be described only briefly.

The reference clamps 28 and 30 include bended rods 32,34 and reference assemblies 36 and 38, secured to their respective rods 32 and 34. Reference assemblies 36 and 38 are of different shapes

so that they can be discriminated by the computer 12. Each of reference clamps 28 and 30, also includes mounting brackets 40 (only one shown) to adequately secure the reference clamps to the tibia 24 and the femur 26, using small surgical screws 41 (only two shown).

5

Similarly, a reference assembly 42 is secured by welding to the surgical tool 20 via a bended rod 44. It is to be noted that the reference assembly 42 may, alternatively, include a mounting bracket to secure the reference assembly 42 on other surgical tools.

10

The operation of the position sensing system 22 will now be described. The camera is used to capture and to transfer to the computer 12 the image of the reference assemblies 36,38 and 42 during the surgical procedure. A registration algorithm, including conventional registration method, is used to convert the real-time image in relative position between each of the reference assemblies 36, 38 and 42. Since the position, shapes and size of each reference assemblies 36,38 and 42 are known to the computer 12, the relative position of the surgical tool 20 with respect to the anatomical structures 24 and 26 may be calculated.

20

The position sensing system 22 may also include a dedicated processor (not shown) that can determine the relative positions of the reference assemblies 36, 38 and 42 and/or the relative positions of the surgical tool 20 and anatomical structures 24 and 26 before sending that information to the computer 12.

25

Other well known position sensing systems, such as, for example, a magnetic position sensing system, can also be used. In such a system, the camera is advantageously replaced by a magnetic field

sensor and the reference assemblies are advantageously replaced by magnetic field emitters.

It is to be noted that it may be advantageous to include
5 a connection between the surgical tool 20 and the position sensing system 22, when using certain position sensing systems 22.

It is also to be noted that, if the surgical tool 20 includes moving parts, individual reference assemblies must be secured to each
10 of those moving parts in order to enable the display of relative positions.

Turning now to Figures 3,4 and 5 of the appended drawings, the general features of a computer-assisted surgical method according to an aspect of the present invention will be described.

15

The first step of the method is to provide the computer 12 with three-dimensional models of the tibia 24, the femur 26 and the surgical tool 20. These models are transferred from the storing device 14 to the computer memory. The three-dimensional models could have been
20 obtained, for example, from two-dimensional slice images of the anatomical structures of interest, using three-dimensional reconstruction systems. Three-dimensional reconstruction systems are believed well known by a person of ordinary skills in the art and thus will not be described furthermore. Other means can also be used to provide three-
25 dimensional models of the anatomical structures and of the surgical tools, without departing from the spirit of the present invention. The slice images can be obtained, for example, by scanning the anatomical structures with a CT or a MRI scanner.

The second step is to calibrate the surgical tools 20 and the reference clamps 28 and 30. For example, this is accomplished by the computer 12, by performing transformations, first, from the reference assembly 42 to the tip of the surgical tool 20 and second, by selecting
5 reference points on the three-dimensional models of the anatomical structures 24, 26 and by identifying the corresponding points on the anatomical structures 24 and 26. Of course, other calibration protocols could be used.

10 During the surgical procedure, the position sensing system 22 will first register the positions and orientations of the reference assemblies 36, 38 and 42 in the coordinate system of the position sensing system (represented by the axes X, Y and Z in Figure 2). Then the orientations and positions of the surgical tool 20, the tibia 24 and the
15 femur 26 are transformed into virtual orientations and position in the reference system of the three-dimensional models, represented by the axes X', Y' and Z' in Figure 3. The three-dimensional models of the tool 20 and of the anatomical structures 24 and 26, denoted 20', 24' and 26' in Figures 3-5, are then reproduced on the display monitor 18 in their new
20 orientations and at their new positions in the computer reference system.

The registration process by the position sensing system 22 and the regeneration of the image on the display monitor 18 are performed at a rate sufficient to allow real-time display and interaction
25 with the three-dimensional models 24' and 26'. The display is said to be in real-time, since movement of the models is perceived as being continuous, without flicker effect, and synchronized with the movements of the anatomical structures 24, 26 and of the surgical tool 20.

The computer 12 is programmed to allow visualization of the anatomical structures 24' and 26' and of the surgical tools 20' as it would be seen from different points of view. Figure 4 of the appended drawings illustrates four such views that can be simultaneously displayed on the display monitor 18. The different points of view can be selected using the input devices 16.

The computer 12 is also programmed to display the anatomical structures 24' and 26' as translucent (partially transparent) objects. The surgeon can therefore always visualize the interaction between the surgical tool 20 and the anatomical structures 24' and 26' since the surgical tool 20 is never occluded by the anatomical structures 24' and 26'. Software programs that allow visualization of translucency and visualization of three-dimensional objects from different points of view are believed well known by a person of ordinary skills in the art and will not be described in further details.

In order to illustrate other features of the method of the present invention, a method of planning the installation of a surgical implant, while the patient is under sedation, using the system 10 will now be described. The example chosen to illustrate the method is the replacement of the Anterior Cruciate Ligament (ACL) of the knee by an artificial ligament.

It is well known by surgeons specialized in knee surgery that the artificial ligament that joints the femur to the tibia should be placed in such a way that it respects an isometry constraint. The present system allows to virtually position a virtual ligament 50 in order to assess such constraint prior to the surgical procedure.

The surgeon uses the surgical tool 20, in the form of an awl, to identify on the patient's tibia 24 and femur 26 the two points 46 and 48 where he believes he should place the artificial ligament. From those two points, a virtual model of the ligament 50 is created by the computer 12 and displayed on the monitor 18 with the models of the tibia 24' and femur 26'. (It is to be noted that the calibration step described hereinabove must be performed before the planning procedure.) As will become apparent upon reading the description of the following example, the planning procedure makes use of the features of the above described system and method.

The surgeon then flexes the patient's knee in order to obtain a set of position measurements. As it has been described hereinabove, the positions of the tibia 24 and of the femur 26 will be determined by the computer 12 and displayed as tibia 24' and femur 26' onto the monitor 18.

According to these positions, the computer 12 will calculate the distance between the two specified points at different flexion angles. A message is then displayed on the monitor 18, informing the surgeon whether or not the isometry constraint is respected. If the constraint is not within a pre-specified tolerance, the surgeon may change the proposed artificial ligament position and perform another leg flexion to verify isometry. Once a position is found satisfying, the surgeon can use the system 10 to perform the surgical procedure. More specifically, the surgeon can visualize the positions of the two points 46 and 48 on the three-dimensional computer models displayed on the monitor to guide him while drilling the holes that will be used to fix the artificial ligament 50.

Turning now to Figure 5 of the appended drawings, other features of the system and method, according to the present invention, will be described.

5 Figure 5 illustrates the use of the interactive computer-assisted surgical system 10 to perform a surgical procedure on a lumbar vertebra 52.

One can see in Figure 5 four different views 60, 62, 64
10 and 66 of the three-dimensional models of a lumbar vertebra 52 and of the surgical tool 20. In this example, the surgical tool is in the form of a screwdriver.

Again, the use of transparency to display the three-
15 dimensional model of the anatomical structure, here in the form of a lumbar vertebra 52, allows the surgeon to visualize the tip of the surgical tool 20', even though it is inserted in one of the cavities of the lumbar vertebra 52.

In addition to select different view points and display
20 simultaneously the three-dimensional models according to those views, using the input device 16, the surgeon can also select cutting planes (see line 54 and 56 on view 66 of Figure 5) from which the anatomical structure is to be seen. The use of the cutting planes 54 and 56 indicates
25 the correspondence between different views of the same anatomical three-dimensional model and thus helps the surgeon in performing surgical navigation. For example, view 62 is taken from line 56.

According to a preferred embodiment of the present invention, it is possible for the surgeon to choose the transparency intensity, ranging from opacity to disappearance of the models, used to display the three-dimensional models of the anatomical structure 52.

5

It is to be noted that it is possible to display simultaneously two and three-dimensional representations and views of the anatomical structures and of the surgical tool without departing from the spirit of the present invention. The number of views displayed simultaneously may also vary.

10

In a preferred embodiment of the present invention, a mouse is used to select view points and cutting planes on the three-dimensional model of the anatomical structures. Of course, other input devices could be used.

15

The anatomical structure can be any part of the human anatomy from which a computer three-dimensional model can be obtained. The structure must however have sufficient rigidity to allow registration of its position.

20

Although the present invention has been described hereinabove by way of preferred embodiments thereof, it can be modified, without departing from the spirit and nature of the subject invention as defined in the appended claims.

25

WHAT IS CLAIMED IS:

1. An interactive surgical system to assist a surgery on at least one anatomical structure, said system comprising:
- 5 a tool;
- a computer, including a three-dimensional model of each of the at least one anatomical structure and a three-dimensional model of said tool;
- an output device connected to said computer; said
- 10 output device being configured to display said model of each of the at least one anatomical structure and said model of said tool; and
- a position sensing system connected to the computer; said position sensing system being configured to register the position of said tool and the position of each of the at least one anatomical structure
- 15 and transferring said positions to said computer;
- whereby, in operation, said computer, using said positions of said tool and of the at least one anatomical structure, is configured to determine virtual positions of said models of each of the at least one anatomical structures and of said tool and to control said output device to display
- 20 said models of each of said anatomical structure and of said tool at their respective virtual positions; said three-dimensional model of each of the at least one anatomical structure being so displayed as to appear partially transparent.
- 25 2. An interactive surgical system as recited in claim 1, further comprising a storing device, connected to said computer, to store at least one of said model of the at least one anatomical structure and said surgical tool.

3. An interactive surgical system as recited in claim 2, wherein said storing device is taken from the group consisting of a disk drive, a CD-ROM drive, a hard-disk drive and a computer memory.

5 4. An interactive surgical system as recited in claim 2, wherein said storing device is remotely connected to the computer via a computer network.

10 5. An interactive surgical system as recited in claim 1, wherein said position sensing system includes:
 at least one magnetic field emitter secured to the at least one anatomical structure;
 a magnetic field emitter secured to said tool; and
 a magnetic field sensor to capture and to transfer to said
15 computer the signal of said field emitters on said tool and on the at least one anatomical structure;
 wherein said computer uses said signals to determine the position of said anatomical structure and the position of said tool.

20 6. An interactive surgical system as recited in claim 1, wherein said position sensing system includes:
 at least one reference assembly secured to the at least one anatomical structure;
 a reference assembly secured to said tool; and
25 a camera to capture and to transfer to said computer the image of said reference assemblies on said tool and on the at least one anatomical structure;

wherein said computer uses said image to determine the position of said anatomical structure and the position of said tool.

7. An interactive surgical system as recited in claim 1,
5 wherein said output device includes a display monitor.

8. An interactive surgical system as recited in claim 1,
wherein said output device includes three-dimensional display goggles.

9. An interactive surgical system as recited in claim 1,
10 further comprising at least one input device, connected to said computer.

10. An interactive surgical system as recited in claim 9,
wherein said at least one input device is selected from the group
15 consisting of a mouse, a keyboard, a touch screen and a voice
recognition system.

11. An interactive surgical system as recited in claim 1,
wherein the at least one anatomical structure includes bones.

20

12. An interactive user interface for a computer system
to assist a surgery on an anatomical structure, said user interface
comprising:

a tool;

25

an output device connected to said computer; said
output device being configured to display a three-dimensional model of
each of the at least one anatomical structure and a three-dimensional
model of said tool; and

a position sensing system connected to the computer;
said position sensing system being configured to register the position of
said tool and the position of each of the at least one anatomical structure
and to transfer these positions to the computer;
5 whereby, in operation, the computer, using said positions of said tool and
of the at least one anatomical structure, is configured to determine virtual
positions of said models of each of the at least one anatomical structures
and of said tool and to control said output device to display said models
of each of said anatomical structure and of said tool at their respective
10 virtual positions.

13. An interactive user interface as recited in claim 12,
wherein said position sensing system includes:
at least one magnetic field emitter secured to the at least
15 one anatomical structure;
a magnetic field emitter secured to said tool; and
a magnetic field sensor to capture and to transfer to said
computer the signal of said field emitters of said tool and of the at least
one anatomical structure;
20 wherein said computer uses said signals to determine the position of said
anatomical structure and the position of said tool.

14. An interactive user interface as recited in claim 12,
wherein said position sensing system includes:
25 at least one reference assembly secured to the at least
one anatomical structure;
a reference assembly secured to said tool; and

a camera to capture and to transfer to the computer the image of said reference assemblies on said tool and on the at least one anatomical structure.

5 15. An interactive user interface as recited in claim 12, wherein said output device is selected from the group consisting of a display monitor and three-dimensional display goggles.

10 16. An interactive user interface as recited in claim 12, wherein said tool is an awl.

17. An interactive user interface as recited in claim 12, further comprising at least one input device connected to said computer.

15 18. An interactive user interface as recited in claim 17, wherein said at least one input device is selected from the group consisting of a mouse, a keyboard, a touch screen and a voice recognition system.

20 19. A method to assist a surgical procedure on at least one anatomical structure, said method comprising:

 providing a position sensing system;

 providing a tool to perform a surgical procedure on the at least one anatomical structure;

25 using the position sensing system to register the relative position of the tool and of each of the at least one anatomical structure;

using said relative position of said tool and of each of the at least one anatomical structure to compute respective virtual positions of each of the at least one anatomical structure and of said tool; providing an output device;

5 displaying on the output device a first view including a transparent three-dimensional computer model of each of the at least one anatomical structure and a three-dimensional computer model of the tool at said respective virtual positions.

10 20. A method as recited in claim 19, further comprising using an input device to select a cutting plane on said at least one view.

21. A surgical procedure method as recited in claim 20, further comprising displaying on said output device a second view
15 corresponding to said cutting plane; said second view including said transparent three-dimensional computer model of each of the at least one anatomical structure and said three-dimensional computer model of the tool at said respective virtual positions.

20 22. A method of determining the appropriate position of a surgical implant on at least one anatomical structure; said method comprising:

identifying a possible position for the implant on the at least one anatomical structure;

25 registering said possible position for the implant and the position of each of the at least one anatomical structure;

creating a computer models of each of the at least one anatomical structure with the implant;

placing the at least one anatomical structure in at least one position;

registering said at least one position of the anatomical structure; and

5 using said at least one registered position to simulate constraints on at least one of the at least one anatomical structure and said implant;

wherein the appropriate position is one of said at least one position where the simulated constraint lies in a predeterminate acceptable range.

10

23. A method of determining the appropriate position of a surgical implant as recited in claim 22, further comprising displaying on an output device said computer models of each of the at least one anatomical structure with the implant.

15

24. A computer-assisted surgical system to assist in the installation of an implant on at least one anatomical structure, said system comprising:

20 a tool to identify a possible position for the implant on the at least one anatomical structure;

a computer including models of each of the at least one anatomical structure and of the implant;

25 a position sensing system connected to said computer; said position sensing system being configured to register said possible position for the implant with respect to at least one position of each of the at least one anatomical structure and to transfer said positions to said computer; and

whereby, in operation, said computer simulates constraints for each of said at least one position of each of the at least one anatomical structure; wherein an appropriate position of the implant is one of said at least one position where said simulated constraint lies in a predeterminate
5 acceptable range.

25. A computer-assisted surgical system as recited in claim 24, wherein said tool is selected from the group consisting of an awl and a surgical screwdriver.

10

26. A computer-assisted surgical system as recited in claim 24, further comprising a storing device, connected to said computer; said storing device being configured to store at least one of said model of the at least one anatomical structure and of said surgical tool.

15

27. An interactive surgical system as recited in claim 26, wherein said storing device is taken from the group consisting of a disk drive, a CD-ROM drive, a hard disk drive and a computer memory.

20

28. An interactive surgical system as recited in claim 26, wherein said storing device is remotely connected to the computer via a computer network.

29. An interactive surgical system as recited in claim 24, wherein said position sensing system includes:

25

at least one magnetic field emitter secured to the at least one anatomical structure;

a magnetic field emitter secured to said tool; and

a magnetic field sensor to capture and to transfer to said computer the signal of said field emitters of said tool and of the at least one anatomical structure;
wherein said computer uses said signals to determine the position of said anatomical structure and the position of said tool.

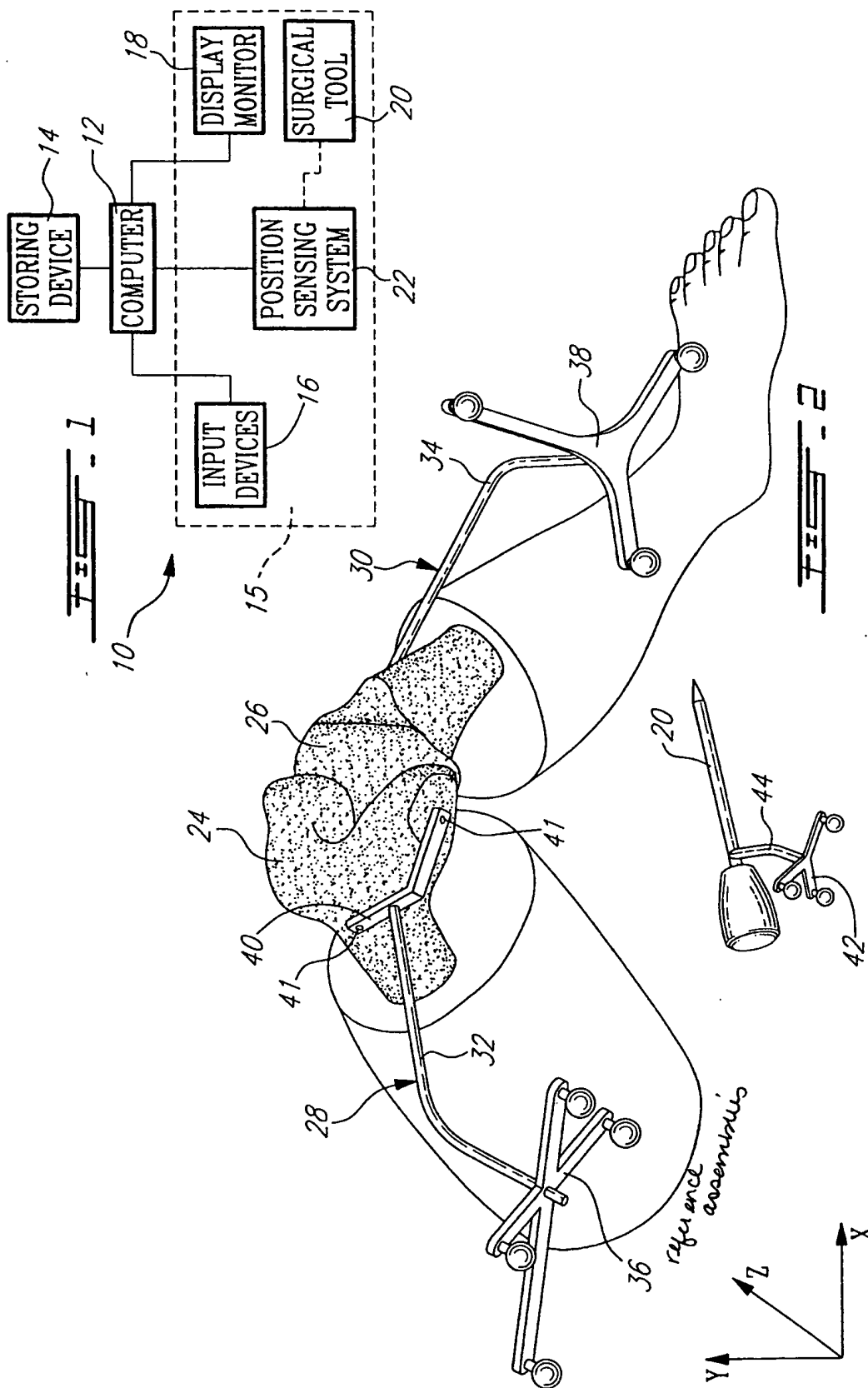
5

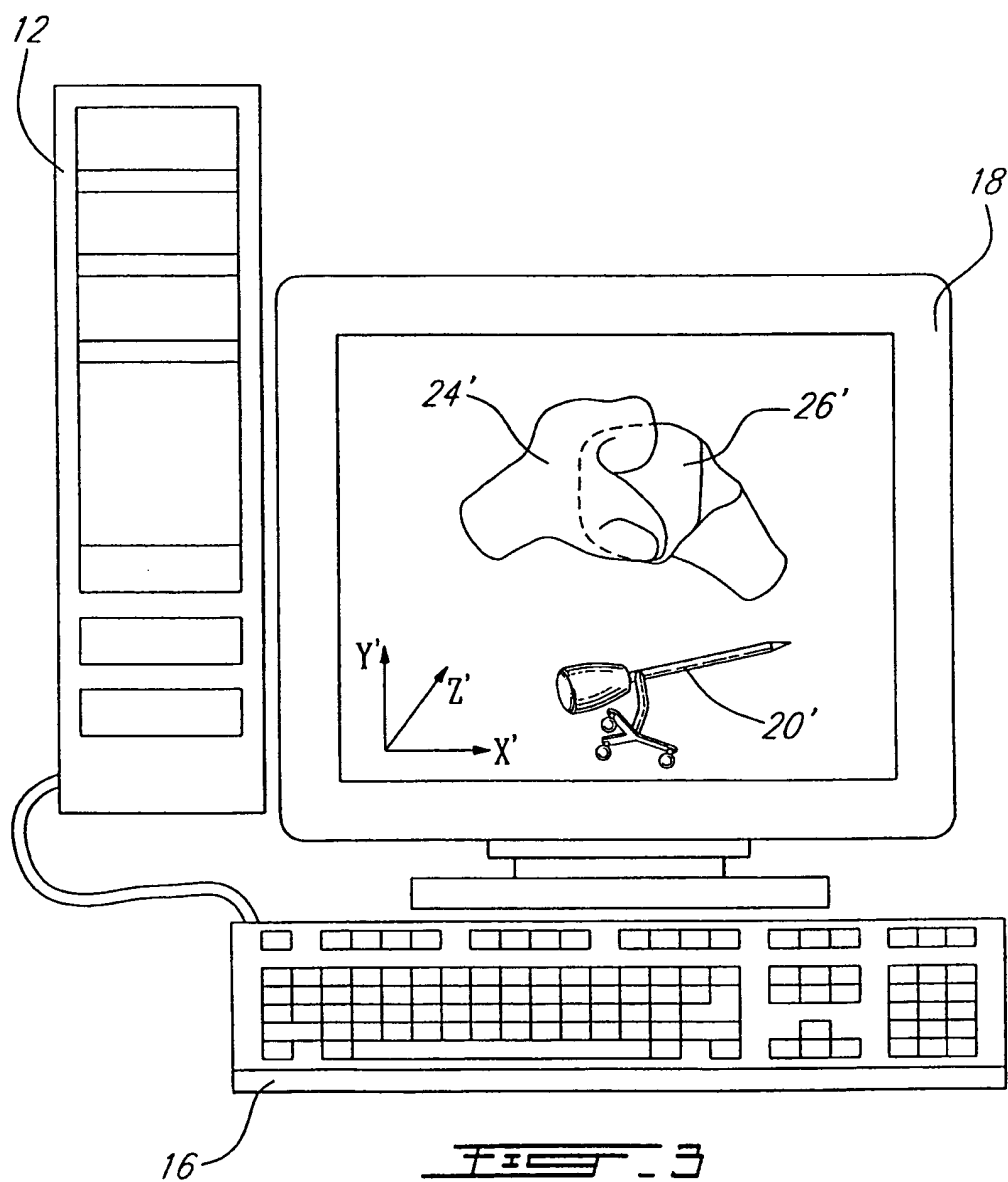
30. An interactive surgical system as recited in claim 24, wherein said position sensing system includes:
at least one reference assembly secured to the at least one anatomical structure;
a reference assembly secured to said tool; and
a camera to capture and to transfer to said computer the image of said reference assemblies on said tool and on the at least one anatomical structure; said computer using said image to determine the position of said anatomical structure and the position of said tool.

10

15

31. An interactive surgical system as recited in claim 24, wherein said output device is selected from the group consisting of a display monitor and three-dimensional display goggles.





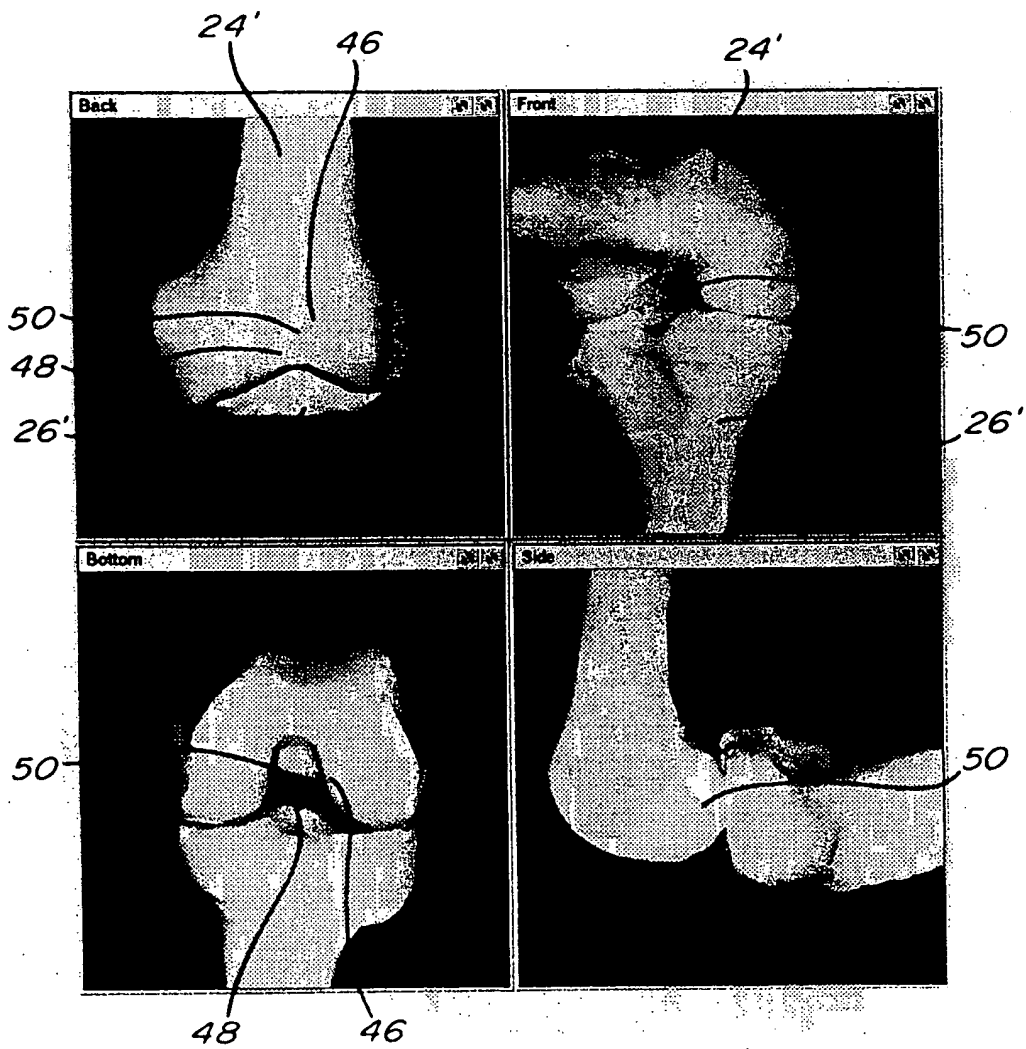


FIG. 4

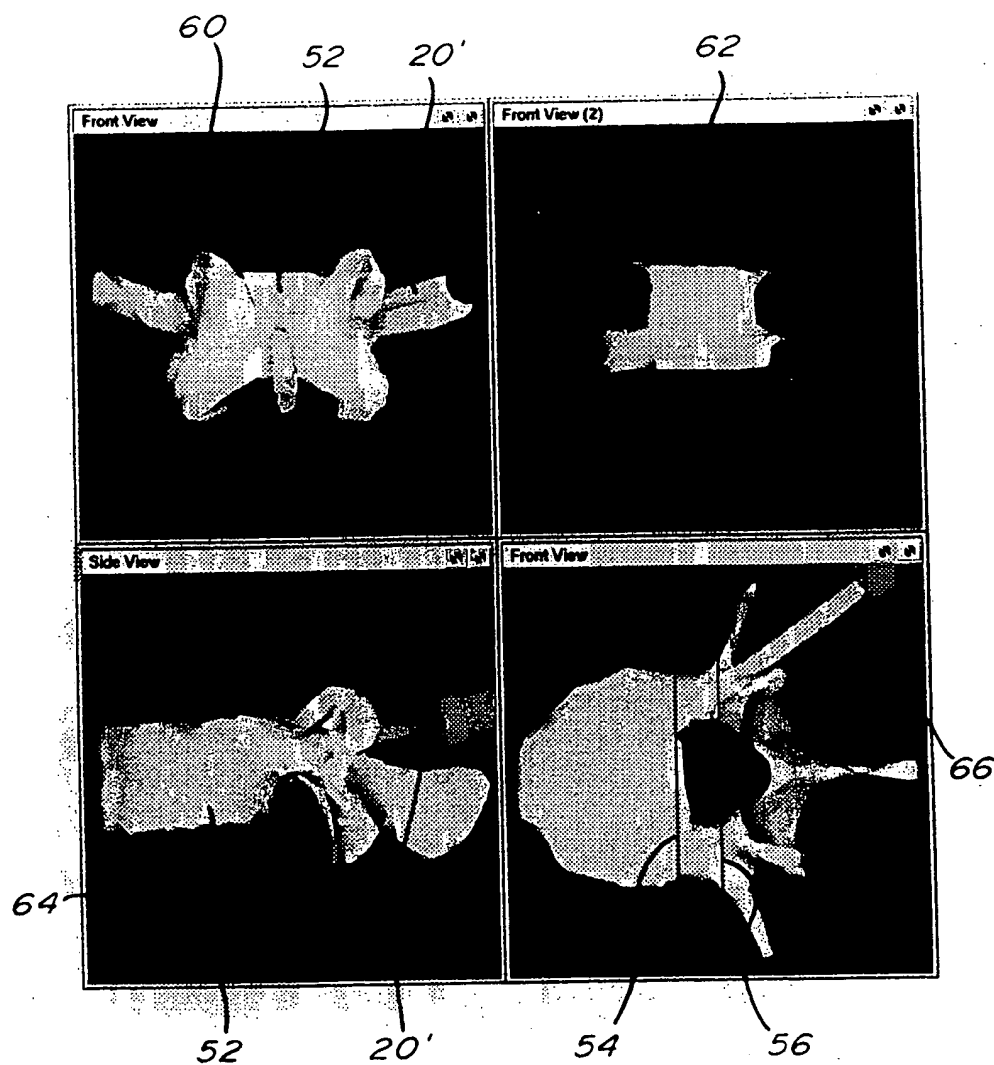


FIG. 5

INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 99/00495

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A61B19/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	WO 99 23956 A (SATI MARWAN ;SYNTHES AG (CH); SYNTHES USA (US)) 20 May 1999 (1999-05-20) the whole document ---	1-18, 22-31
Y	WO 96 10949 A (MEDICAL MEDIA SYSTEMS) 18 April 1996 (1996-04-18) page 23, line 21 - page 24, line 10 page 27, line 3 - page 28, line 10; figures 1,8 --- -/--	1-4, 6-12, 14-18, 22-28, 30,31

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

31 August 1999

Date of mailing of the international search report

10/09/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Hansen, S

INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 99/00495

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5 099 846 A (HARDY TYRONE L) 31 March 1992 (1992-03-31) column 7, line 29 - line 56 column 10, line 35 - column 11, line 61; figures 1,2 ---	1-4, 6-12, 14-18, 22-28, 30,31
A	WO 97 29710 A (BEJERANO YANIV ;ACKER DAVID E (US); BIOSENSE INC (US)) 21 August 1997 (1997-08-21) page 8, line 26 - line 13; figures 1-3 ---	1,5,12, 13,24,29
A	US 5 564 437 A (CHAMPLEBAUX GUILLAUME ET AL) 15 October 1996 (1996-10-15) column 3, line 41 - column 4, line 64; figure 1 ---	1,12,22, 24
A	DE 297 04 393 U (AESCULAP WERKE AG) 17 July 1997 (1997-07-17) page 11, paragraph 3 - page 15, paragraph 2; figures 1-3 ---	1,12,22, 24
A	US 5 715 836 A (LUNDT BERND ET AL) 10 February 1998 (1998-02-10) abstract; figures 1,2 ---	1,12,22, 24
A	US 5 617 857 A (FAUL IVAN ET AL) 8 April 1997 (1997-04-08) abstract; figure 1 ---	1,12,22, 24
A	DE 42 25 112 C (BODENSEEWERK GERAETETECH) 9 December 1993 (1993-12-09) abstract; figure 1 -----	1,12,22, 24

INTERNATIONAL SEARCH REPORT

International application No.

PCT/CA 99/ 00495

Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: **claims: 19-21**
because they relate to subject matter not required to be searched by this Authority, namely:
Rule 39.1.(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

information on patent family members

International Application No

PCT/CA 99/00495

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9923956	A	20-05-1999	AU 5317698 A	31-05-1999
WO 9610949	A	18-04-1996	US 5765561 A	16-06-1998
			AU 4002595 A	02-05-1996
			CA 2202052 A	18-04-1996
US 5099846	A	31-03-1992	CA 1329434 A	10-05-1994
			CA 1333728 A	27-12-1994
			US 5205289 A	27-04-1993
			US 5143076 A	01-09-1992
			US 5176689 A	05-01-1993
			US 5398684 A	21-03-1995
			US 5354314 A	11-10-1994
			US 5339812 A	23-08-1994
WO 9729710	A	21-08-1997	AU 1616897 A	02-09-1997
			AU 1731597 A	02-09-1997
			AU 1958997 A	02-09-1997
			AU 2131497 A	02-09-1997
			AU 2275597 A	02-09-1997
			AU 4218397 A	14-04-1998
			CA 2237992 A	26-03-1998
			EP 0883375 A	16-12-1998
			EP 0910299 A	28-04-1999
			EP 0910278 A	28-04-1999
			EP 0910300 A	28-04-1999
			EP 0926997 A	07-07-1999
			WO 9729682 A	21-08-1997
			WO 9729679 A	21-08-1997
			WO 9811840 A	26-03-1998
			WO 9729709 A	21-08-1997
			WO 9729684 A	21-08-1997
			AU 1731497 A	02-09-1997
			EP 0932362 A	04-08-1999
			WO 9729678 A	21-08-1997
US 5564437	A	15-10-1996	FR 2699271 A	17-06-1994
			AT 167380 T	15-07-1998
			DE 69319212 D	23-07-1998
			DE 69319212 T	19-11-1998
			EP 0603089 A	22-06-1994
			ES 2119879 T	16-10-1998
			JP 7000415 A	06-01-1995
DE 29704393	U	17-07-1997	NONE	
US 5715836	A	10-02-1998	DE 4304571 A	18-08-1994
			WO 9419758 A	01-09-1994
			EP 0685088 A	06-12-1995
			JP 8509393 T	08-10-1996
US 5617857	A	08-04-1997	NONE	
DE 4225112	C	09-12-1993	NONE	

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☒ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.